

*Section #3*

PATENT  
Docket No. SCAL.P0007

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on April 13, 2001.

*Shannon Lester*  
Shannon Lester

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Joshua L. Coates

Serial No.: 09/753,332

Filing Date: 12/29/00

For: A FAILOVER ARCHITECTURE FOR A  
DISTRIBUTED STORAGE SYSTEM

Examiner: <not yet assigned>

Group Art Unit: 2184

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under  
37 C.F.R. § 1.53(f) dated 2/16/01, attached please find:

1. Notice to File Missing Parts of Nonprovisional Application – Part 2;
2. A combined Declaration and Power of Attorney signed by the inventor and the  
surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e);
3. A Recordation Form Cover Sheet and Assignment;
4. Thirty-two (32) corrected drawings; and
5. A Return Receipt Post Card.

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	30 - 20 =	10	x \$18.00	\$180.00
INDEPENDENT CLAIMS	3 - 3 =	0	x \$80.00	N/A
SURCHARGE FOR FILING MISSING PARTS				\$130.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS =	\$1,020.00
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$510.00
ASSIGNMENT RECORDATION FEE				\$40.00
			TOTAL =	\$550.00

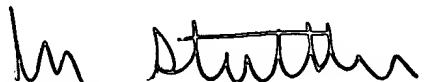
☒ A check in the amount of \$550.00 is attached.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 50-1128**.

Respectfully submitted,

Dated: April 13, 2001

By:

  
John Stattler  
Registration No. 36,285

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Palo Alto, California 94303-0728  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,332	12/29/2000	Joshua Coates	SCAL.P0007

23349  
STATTLER JOHANSEN & ADELI  
P O BOX 51860  
PALO ALTO, CA 94303

CONFIRMATION NO. 8411

## FORMALITIES LETTER



\*OC000000005742967\*

Date Mailed: 02/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1020.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/18/2001 MLK/ELI  
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 355.00 DP  
 90.00 DP  
 65.00 DP  
 01 FC:201  
 02 FC:203  
 03 FC:205

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*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE